COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 09/976,990 10/12/2001 Penner

265/165

22249 LYON & LYON LLP 633 WEST FIFTH STREET **SUITE 4700** LOS ANGELES, CA 90071

CONFIRMATION NO. 3339 FORMALITIES LETTER *OC000000007066887*

Date Mailed: 11/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by Illing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice <u>MUST</u> be returned with the reply.

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Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PAT	ENT AND TRADEMARK OFFICI
Ta The the Application of:)) Group Art Unit:
Inventor: Reginald Penner et al.)
· ·) Examiner: N/A
Serial No.: 09/976,990)
Filed: October 12, 2001)
For: METHODS OF FABRICATING	
METAL NANOWIRES)
TD A NOMITT AT A	— OF MISSING PARTS
IRANSWITTAL	JF MISSING PARTS

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Sir:

I. **DOCUMENTS ENCLOSED:**

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on November 14, 2001, enclosed are:

冈 Declaration

Power of Attorney: Separate or Combined with Declaration

 \boxtimes Assignment of the invention to The Regents of the University of California (together with PTO Form 1595)

CERTIFICATE OF MAILING

(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope 03/27/2002 HNOOR1 | 00000059 addressed to the Commissioner for Patents, Washington, D.C. 20231.

		wary L. Simin
	· · ·	Name of Person Mailing Paper
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Date of Deposit

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- Substitute (formal) drawings (8 sheets) in compliance with 37 CFR 1.84 are also submitted herewith.
- A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f)

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

E :	XTENSION (months)	FEE FOR SMALL ENTITY		FOR OTHER THAN MALL ENTITY
	one month	\$55.00		\$110.00
茵	two months	\$200.00		\$400.00
Ħ	three months	\$460.00		\$920.00
\Box	four months	\$720.00		\$1,440.00
	five months	\$980.00		\$1,960.00
	•	,	Fee	\$200.00

If any extension fee is required, please consider this a petition therefor.

III. METHOD OF PAYMENT OF FEES:

	A check in the amount of is enclosed to cover the above fee(s).
\boxtimes	Charge Lyon & Lyon's Deposit Account No. 12-2475 in the amount of \$265.00.
	Note: This amount includes the surcharge fee of \$65.00.

The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. 12-2475 for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON LLP

Dated: March 12, 2002

By:

Kenneth S. Roberts Reg. No. 38,283



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PATENT TRADEMARK OFFICE

LYON & LYON LLP Suite 4700 633 W. Fifth Street Los Angeles, CA 90071 (213) 489-1600